Coherent configurations Lecture 1 Centralizer algebra of a permutation group

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- Algebraic graph theory (briefly AGT) deals with "highly symmetric" graphs.
- Symmetry of a graph Γ may be measured in terms of the group $Aut(\Gamma)$, combinatorial invariants of Γ , or the spectrum of the adjacency matrix $A(\Gamma)$ of Γ .
- Special attention to infinite families and sporadic examples of "nice graphs".

- The name AGT was coined by Norman Biggs in his seminal book (1974), second edition (1993).
- At least a dozen of other books, in particular by Bannai & Ito (1984), Brouwer-Cohen-Neumaier (1989), Cameron & van Lint (1991), Cameron (1999), Godsil & Royle (2001).

- In a wider framework there is a big intersection with a part of mathematics called "algebraic combinatorics".
- Nowadays AC tends to mean mainly results related to enumerative combinatorics (e.g. in the sense of Richard Stanley).

 In this first lecture we start with initial simple concepts related to finite permutation groups and their centralizer algebras.

Permutation groups

- A permutation group G of degree n is a subgroup of the symmetric group S_n (of all permutations of n points).
- Typically G is presented via a (compact) set of generators, for example

$$S_n = \langle (1, 2, \ldots, n), (1, 2) \rangle.$$

• For us each permutation group appears as a symmetry group of "something".

Relational structures

- According to the spirit of Marc Krasner, we may rely on relational structures (sets of relations of arbitrary arity).
- One can speak of relational algebras.
- In simple cases, just one of a few considered relations.

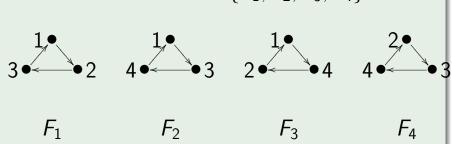
Example 1.1 (Alternating group A_4)

 A_4 consists of all even permutations of [1,4] and has order $\frac{1}{2} \cdot 4! = 12$.

- $A_4 =$ {(), (2, 3, 4), (2, 4, 3), (1, 2, 3), (1, 2, 4), (1, 3, 2), (1, 3, 4), (1, 4, 2), (1, 4, 3), (1, 3)(2, 4), (1, 2)(3, 4), (1, 4)(2, 3)}.
- $A_4 = \langle (1,2,3), (1,2,4) \rangle$.
- A_4 is 2-transitive (acts transitively on ordered pairs of distinct elements).

Example 1.1 (cont)

Relational structure $F = \{F_1, F_2, F_3, F_4\}$



• Clockwise orientation of the faces of the tetrahedron T:

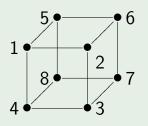
Arity

- Due to Example 1, A₄ has arity 3. This means that A₄ is the automorphism group of relation(s) of arity 3, while it is not the automorphism group of relation(s) of arity 2.
- Arity of a permutation group of degree n is a number in the range [0, n-1].
- We are mainly interested in permutation groups of arity 2.

Graphs

- A (directed) graph $\Gamma = (V, R)$ consists of a set V of vertices and a set R of arcs.
- A color graph Γ is a collection of graphs on the same vertex set (we speak about colors of arcs).
- The automorphism group $Aut(\Gamma)$ of Γ consists of all automorphisms of Γ .
- An automorphism preserves each color in Γ.

Example 1.2 (3-dimensional cube Q_3)



$$G = Aut(Q_3)$$

- |G| = 48.
- $G = \langle (1,3)(5,7), (1,8)(2,7), (1,2)(3,4)(5,6)(7,8) \rangle$.
- $G \cong S_2 \times S_4$.
- As a permutation group: $G = S_2 \uparrow S_3$ (exponentiation of S_2 and S_3).

Example 1.3 (Regular elementary abelian group E_4)

$$E_4 = \{e, (1,2)(3,4), (1,4)(2,3), (1,3)(2,4)\}$$

0



- $E_4 = Aut(\Gamma = \{\Gamma_1, \Gamma_2\}).$
- This is a color graph. A usual graph is not enough.

Adjacency matrices

- We use simultaneously adjacency matrices of graphs and permutation matrices.
- For a graph $\Gamma = ([1, n], R)$ $A = A(\Gamma)$ is a square matrix of order n such that for the entry a_{ij} of A on the intersection of row i and column j

$$a_{ij} = \begin{cases} 0 & (i,j) \notin R, \\ 1 & (i,j) \in R. \end{cases}$$

Adjacency matrices

• Matrix A is symmetric



 Γ is an undirected graph.

• Let I be the identity matrix of order n; it is the adjacency matrix of a full reflexive graph (its arcs are all loops (i, i), $i \in [1, n]$).

Adjacency matrices

- For a permutation g, acting on the set [1, n], we denote by $j = i^g$ the image j of i under the permutation g.
- To each permutation g we associate its (directed) graph D(g) (diagram of G) with the arc set $\{(i,i^g)|i\in[1,n]\}$ and permutation matrix M(g)=A(D(g)).

- Let us discuss a few convenient ways of representing permutations:
- In a two-row table associated with $g \in S(\Omega)$, all elements of Ω are presented in the first row with corresponding images in the second row, i.e.,

$$g = \left(\begin{array}{cccc} 1 & 2 & 3 & \dots & n \\ 1^g & 2^g & 3^g & \dots & n^g \end{array}\right).$$

• The diagram D(g) of g is a directed graph, the vertices of which are labeled by the elements of Ω with an arc drawn from each $x \in \Omega$ to its image x^g .

• Let $\Omega = \{1, 2, ..., n\}$ and let M(g) be the adjacency matrix of the diagram D(g), i.e.,

$$M(g) = (m_{ij})_{1 \leq i,j \leq n}$$

where

$$m_{ij} = \begin{cases} 1 & \text{if} \quad j = i^g \\ 0 & \text{otherwise.} \end{cases}$$

The matrix M(g) is called a permutation matrix. It has precisely one nonzero entry (equal to 1) in each row and column.

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It is evident that $M(g^{-1}) = (M(g))^{-1} = (M(g))^{t}$, where M^{t} denotes the matrix transpose of M. The permutation matrix which corresponds to the identity permutation e on Ω is the unit matrix (or identity matrix) of order n, and is denoted by $M(e) = I_n$.

• For each permutation $g \in S(\Omega)$ the diagram D(g) is a union of disjoint oriented cycles. This enables us to encode each $g \in S(\Omega)$ by means of a cycle representation for g (equivalently, decomposition as a product of disjoint cycles).

• Thus the diagram D(g) will consist of l disjoint cycles of respective lengths k_1, k_2, \ldots, k_l , and consequently its cycle representation will be

$$g = (a_1, a_2, \dots, a_{k_1}) (b_1, b_2, \dots, b_{k_2}) \dots (u_1, u_2, \dots, u_{k_l}).$$

Example 1.4

 We give three different cycle representations for g, the last of which is canonical:

$$g = (5,6,2,1,3)(7)(9,8,4)(10)$$

= (7)(10)(8,4,9)(2,1,3,5,6)
= (1,3,5,6,2)(4,9,8).

Example 1.4

• The corresponding permutation matrix M(g) is:

Permutation groups

• Given a set G of permutations on a finite set Ω , the routine procedure for proving that G is a group is to check that it is closed under composition.

Example 1.3 (cont.)

- Let $\Omega = \{1, 2, 3, 4\}$, and $G = \{g_1, g_2, g_3, g_4\}$ where $g_1 = e, g_2 = (1, 2)(3, 4), g_3 = (1, 3)(2, 4), g_4 = (1, 4)(2, 3).$
- Since $g_2g_3=g_3g_2=g_4$, $g_2g_4=g_4g_2=g_3$, $g_3g_4=g_4g_3=g_2$, $g_1^2=g_2^2=g_3^2=g_4^2=e$, the set G is seen to be closed under composition. Hence (G,Ω) is a permutation group.

Example 1.5

- Let $\Omega = \{1, 2, 3, 4\}$, and let G consist of all permutations from $S(\Omega)$ which preserve the partition $\{\{1, 2\}, \{3, 4\}\}\}$ of Ω (i.e., for all $g \in G$ either $\{1^g, 2^g\} = \{1, 2\}$ or $\{1^g, 2^g\} = \{3, 4\}$).
- Then one can assert, without any specific determination of the elements of G, that (G,Ω) is a permutation group.

Example 1.5 (cont.)

• In fact, as the reader can easily verify, $G = \{e, (1,2), (3,4), (1,2)(3,4), (1,3)(2,4), (1,4)(2,3), (1,3,2,4), (1,4,2,3)\}.$

Stabilizers

- Let (G, Ω) be a permutation group, and let $X = \{x_1, x_2, \dots, x_k\}$ be a subset of Ω .
- We define

$$G_{x_1,...,x_k} := \{g \in G \mid \forall i : x_i^g = x_i\},$$
 $G_X = G_{\{x_1,...,x_k\}} := \{g \in G \mid X^g = X\},$ where $X^g = \{x_1^g, x_2^g, \ldots, x_k^g\}.$

Stabilizers

• Again it is easy to see that $G_{x_1,...,x_k}$ and $G_{\{x_1,...,x_k\}}$ are subgroups of G which are called the pointwise stabilizer of X in G and the setwise stabilizer of X in G, respectively. (If $X = \{x\}$, the notions of G_x and $G_{\{x\}}$ coincide and only the first notation will be used.)

Orbits

- We say $x, y \in \Omega$ belong to the same orbit of the permutation group (G, Ω) if $y = x^g$ for some $g \in G$.
- Obviously, the set of all distinct orbits of (G,Ω) forms a partition of Ω , i.e., different orbits have empty intersection and the union of all orbits is equal to Ω .

Transitive groups

- The number $|\mathcal{O}|$ of elements which belong to an orbit \mathcal{O} is called its length.
- A permutation group (G, Ω) is called transitive if Ω is its only orbit, otherwise it is intransitive.

Proposition 1.1 (Reformulation of Lagrange's theorem)

Let (G,Ω) be a permutation group, and for each $x \in \Omega$, let $\mathcal{O}(x)$ denote the orbit of (G,Ω) which contains x. Then

$$|\mathcal{O}(x)| = [G : G_x]$$
, and consequently

$$|G| = |G_x| \cdot |\mathcal{O}(x)|.$$

In particular, the length of each orbit divides the order of the group.

Proof

Consider the partition of G into right cosets with respect to the stabilizer G_x of x. These cosets are clearly in one-to-one correspondence with the elements of $\mathcal{O}(x)$; indeed, the coset $G_x g$ consists of those and only those permutations of G which map x to x^g . The result follows.

 Proposition 1.1 is commonly called the Orbit-Stabilizer Theorem.

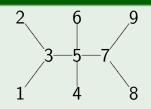
Induced action on Ω^2

- Let $g \in S(\Omega)$. The action of g on Ω can be extended to an induced action of g on Ω^2 by defining $(a, b)^g := (a^g, b^g)$ for each $(a, b) \in \Omega^2$.
- A subset $R \subset \Omega^2$ is called a binary relation on Ω . For any binary relation R on Ω and element $g \in S(\Omega)$, we define $R^g = \{(x,y)^g \mid (x,y) \in R\}$.

Invariant relations

- In general $R^g \neq R$.
- If R^g = R we say g preserves R or, equivalently, that R is invariant with respect to g.
- Likewise, if $R^g = R$ for every $g \in (G, \Omega)$ then we say (G, Ω) preserves R or that R is invariant with respect to (G, Ω) .

Example 1.6



- Consider the undirected graph $\Gamma = (\Omega, R)$ with vertex set $\Omega = \{1, 2, \dots, 9\}$ and edges as depicted.
- Set $G = \operatorname{Aut}(\Gamma)$.
- We prove |G| = 16 by successively applying Proposition 1.1.

Example 1.6 (cont.)

• First, it is visually evident that the orbits of (G, Ω) are given by:

$$\{1,2,8,9\},\ \{3,7\},\ \{4,6\},\ \{5\}.$$

- Thus a first application of Proposition 1.1 gives $|G| = |\mathcal{O}(1)| \cdot |G_1| = 4 \cdot |G_1|$.
- Now, letting $\mathcal{O}_{G_1}(8)$ denote the orbit containing 8 under the action of (G_1, Ω) , we get $|G_1| = |\mathcal{O}_{G_1}(8)| \cdot |G_{1,8}| = 2 \cdot |G_{1,8}|$.

Example 1.6 (cont.)

• One further application of 1.1, together with the observation that $G_{1,8,4} = \{e\}$, gives

$$|G_{1,8}| = |Orb_{G_{1,8}}(4)| \cdot |G_{1,8,4}| = 2 \cdot |G_{1,8,4}| = 2.$$

So:

$$|G| = 4 \cdot 2 \cdot 2 = 16.$$

Proposition 1.2

Let $\Gamma = (\Omega, R)$ be a graph. For $g \in S(\Omega)$, denote by Γ^g its isomorphic image $\Gamma^g = (\Omega, R^g)$ under g. Then

$$A(\Gamma^g) = M(g)^{-1}A(\Gamma)M(g),$$

where $A(\Gamma)$ and $A(\Gamma^g)$ are the respective adjacency matrices for Γ and Γ^g and M(g) is the permutation matrix associated with g.

Proposition 1.2

In particular $g \in \operatorname{Aut}(\Gamma)$ if and only if

$$M(g)^{-1}A(\Gamma)M(g) = A(\Gamma)$$

or, equivalently,

$$A(\Gamma)M(g) = M(g)A(\Gamma).$$

In words, the permutation g is an automorphism of Γ if and only if $A(\Gamma)$ commutes with M(g).

Proof

- Let E_{ij} be the matrix of order $n = |\Omega|$ in which the (i, j)-entry is equal to 1 and all other entries are equal to 0.
- Clearly,

$$A(\Gamma) = \sum_{(i,j)\in R} E_{ij}.$$

• Setting $k=i^g$ and $l=j^g$, it is easy to see that $M(g)^{-1}E_{ij}=M(g^{-1})E_{ij}=E_{kj}$ and $E_{kj}M(g)=E_{kl}$.

Proof

Thus, we have

$$M(g)^{-1}E_{ij}M(g)=E_{kl}.$$

But then

$$M(g)^{-1}A(\Gamma)M(g) =$$
 $M(g)^{-1}\Big(\sum_{(i,j)\in R} E_{ij}\Big)M(g) =$
 $\sum_{(i,j)\in R} M(g)^{-1}E_{ij}M(g) = \sum_{(i,j)\in R} E_{igjg} =$
 $A(\Gamma^g).$

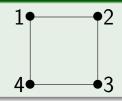
- Proposition 1.2 motivates us to define the centralizer ring and centralizer algebra of a permutation group.
- In what follows \mathbb{Z} will denote the ring of integers, \mathbb{C} the field of complex numbers, and $M_n(K)$ the set of order n matrices with values from K.

• The centralizer ring of the permutation group (G,Ω) is the ring of all integer-valued matrices which commute with every permutation matrix M(g), $g \in G$, i.e., $\mathcal{V}_{\mathbb{Z}}(G,\Omega) = \{A \in M_n(\mathbb{Z}) \mid AM(g) = M(g)A \ \forall g \in (G,\Omega) \}.$

• Analogously, replacing integer-valued matrices by complex-valued matrices, we obtain the definition for the centralizer algebra of (G,Ω) : $\mathcal{V}_{\mathbb{C}}(G,\Omega) = \{A \in M_n(\mathbb{C}) \mid AM(g) = M(g)A \ \forall g \in (G,\Omega) \}.$

• When there is no risk of confusion, or when the distinction is not considered to be crucial, we shall express each of $\mathcal{V}_{\mathbb{Z}}(G,\Omega)$ and $\mathcal{V}_{\mathbb{C}}(G,\Omega)$ simply as $\mathcal{V}(G,\Omega)$.

Example 1.7 (Cycle C_4)



- $Aut(C_4) = D_4$, dihedral group of order 8.
- $D_4 = \langle (1,2,3,4), (1,3) \rangle$.

•

$$\begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \end{pmatrix} \qquad \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ M((1, 2, 3, 4)) \end{pmatrix} \qquad \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ M((1, 2, 3, 4)) \end{pmatrix}$$

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- Start from a permutation group (G, Ω) of degree n.
- Consider it as a group of permutation matrices.
- Find its centralizer V in the algebra $M_n(F)$ of matrices of order n.
- $V = V(G, \Omega)$ is called the centralizer algebra of (G, Ω) .
- The name was coined by Issai Schur.

- $V(G, \Omega)$ has (as a vector space) a special basis, which consists of (0, 1)-matrices.
- These matrices can be regarded as adjacency matrices of graphs with n vertices.
- V is the same as full color graph.
- In addition, we get structure constants of the algebra V.

Example 1.8 (Cycle C_6)

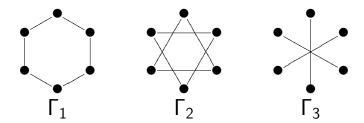


- $Aut(C_6) = D_6$, dihedral group of order 12.
- $V(D_6)$ has dimension (rank) 4.
- Basic matrices:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$

Example 1.8 (cont.)

Corresponding graphs:

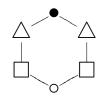


• intersection matrices:

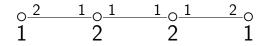
$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 0 & 1 & 0 & 0 \\ 2 & 0 & 1 & 0 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 2 \\ 2 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$

Example 1.8 (cont.)

Distance decomposition:



Intersection diagram:



Intersection algebra

- Matrix algebra $V(G, \Omega)$ consists of matrices of order n.
- Intersection algebra $P(G, \Omega)$ consists of matrices of order r.

Intersection algebra

Theorem 1.1

Algebras $V(G,\Omega)$ and $P(G,\Omega)$ are isomorphic as matrix algebras.

- In Example 1.8, n = 6, r = 4.
- Typically, the difference is more essential.

Proof outline

- See theorem 3.4 of Cameron's book.
- A helpful identity for intersection numbers.
- Proof of the identity (combinatorial or algebraic).
- Combinatorial hints.
- This is really isomorphism.

Historical comments

- Bose & Mesner (1959) in particular case.
- Tradition to attribute general result to H.
 Wielandt.
- (Discussion at the evening.)

Main references



P.J. Cameron, "Permutation Groups," London Mathematical Society Student Texts, 45, Cambridge University Press, Cambridge, 1999.

Thank You!